



NEW UNITED STATES UTILITY PATENT APPLICATION  
under 37 C.F.R. 1.53(b)

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Atty. Docket No. 04776.81658

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10: The undersigned hereby certifies that this United States Patent Application and all papers noted herein as being attached, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. § 1.10 today, **October 5, 1999**, and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

**Express Mail Label No.: EM461382595US**



Assistant Commissioner for Patents  
Box Patent Applications  
Washington, D.C. 20231

Enclosed herewith is a new patent application and the following papers:

First Named Inventor (or application identifier): Ira A. Gerson

Title of Invention: SPEECH RECOGNITION TECHNIQUE BASED ON LOCAL INTERRUPT DETECTION

1. ☒ Specification 27 pages (including specification, claims, abstract) / 29 claims (5 independent)
2. ☒ Declaration/Power of Attorney is:  
☒ attached in the regular manner.  
☐ NOT included, but deferred under 37 C.F.R. § 1.53(f).
3. ☒ 5 Distinct sheets of ☐ Formal ☒ Informal Drawings
4. ☐ Preliminary Amendment.
5. ☐ Information Disclosure Statement  
☐ Form 1449  
☐ A copy of each cited prior art reference
6. ☒ Assignment with Cover Sheet.
7. ☐ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)

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8. ☐ Priority document(s).
9. ☒ Statement Claiming Small Entity Status.
10. ☐ Microfiche Computer Program (Appendix).
11. ☐ Nucleotide and/or Amino Acid Sequence Submission.  
☐ Computer Readable Copy.  
☐ Paper Copy (identical to computer copy).  
☐ Statement verifying identity of above copies.

12. Calculation of Fees:

FEES FOR	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R. § 1.16(a))			\$760.00
Total Claims in Excess of 20 (37 C.F.R. § 1.16(c))	9	18.00	\$162.00
Independent Claims in Excess of 3 (37 C.F.R. § 1.16(b))	2	78.00	\$156.00
Multiple Dependent Claims (37 C.F.R. § 1.16(d))	0	260.00	\$0.00
Subtotal - Filing Fee Due			\$1,078.00
	MULTIPLY BY		
Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28)	0.5		\$539.00
<b>TOTAL FILING FEE DUE</b>			<b>\$539.00</b>
Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h))	1	40.00	\$40.00
<b>GRAND TOTAL DUE</b>			<b>\$579.00</b>

13. PAYMENT is:

- ☒ included in the amount of the GRAND TOTAL by our enclosed check. A general authorization under 37 C.F.R. § 1.25(b), second sentence, is hereby given to credit or debit our Deposit Account No. 01-0850 for the instant filing and for any other fees during the pendency of this application under 37 C.F.R. §§ 1.16, 1.17 and 1.18.
- ☐ not included, but deferred under 37 C.F.R. § 1.53(f).

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14. All correspondence for the attached application should be directed to:

Banner & Witcoff, Ltd.  
Ten South Wacker Drive, Suite 3000  
Chicago, IL 60606-7407  
Telephone: (312) 715-1000  
Facsimile: (312) 715-1234

15. Other: \_\_\_\_\_

Date: October 5, 1999

By: Christopher P. Moreno  
Christopher P. Moreno  
Reg. No. 38,566

CPM:dal

60606-7407

**CERTIFICATE OF MAILING**

(PATENT)

Express Mail No. EM461382595US

Deposited October 5, 1999

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Applications, Washington, DC 20231.

By: 

Design Patent Application of:

Inventor: **Ira A. Gerson**

Attorney Docket No.: 04776.81658

Title: **SPEECH RECOGNITION TECHNIQUE BASED ON LOCAL INTERRUPT DETECTION**

- ☒ New U.S. Utility Patent Application Under 37 CFR 1.53(b) Transmittal
- ☒ Specification (27 pages including specification, 29 claims and abstract)
- ☒ Executed Declaration and Power of Attorney - 2 pages
- ☒ Drawings - Informal - 5 pages
- ☒ Assignment with Cover Sheet - 2 pages
- ☒ Statement Claiming Small Entity Status - 2 pages
- ☒ Filing Fee Check - \$579.00
- ☒ Return Receipt Postcard

B & W Case No.: 99,303

BANNER & WITCOFF, LTD.  
10 South Wacker Drive  
Chicago, Illinois 60606  
(312) 715-1000